

# MEMORANDUM

## Department of Aging and Disability Services Regulatory Services Policy \* Survey and Certification Clarification

**TO:** Regulatory Services  
Regional Directors and State Office Managers

**FROM:** Veronda L. Durden  
Assistant Commissioner  
Regulatory Services

**SUBJECT:** Outcome and Assessment Information Set (OASIS) Changes Effective 6/21/06 -  
**S&CC 06-08**

**APPLIES TO:** Certified Home and Community Support Services Agencies (HCSSAs)

**DATE:** July 3, 2006

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This memorandum provides notice of changes made to HCSSA OASIS requirements by the Centers for Medicare and Medicaid Services (CMS). These changes go into effect June 21, 2006. You may view the posting and related downloads on the CMS web site at: [http://www.cms.hhs.gov/OASIS/03\\_Regulations.asp#TopOfPage](http://www.cms.hhs.gov/OASIS/03_Regulations.asp#TopOfPage).

The following was taken directly from the CMS posting announcing these changes:

### “NEW NEWS

#### Final OASIS Reporting Regulation published 12/23/2005

CMS has published the final reporting regulation for OASIS reporting in the 12/23/2005 Federal Register. The regulation goes into effect 6/21/2006. The regulation: **Medicare and Medicaid Programs: Reporting Outcome and Assessment Information Set Data as Part of the Conditions of Participation for Home Health Agencies**, makes the following changes:

- Revision of the standard in 42 CFR 484.20(a). The regulation allows 30 days from the date of the assessment (M0090) is completed for the agency to encode and submit OASIS data.
- Removes the requirement in 42 CFR 484.20(c)(1) to “lock” data within 7 days of assessment.
- Redesignates the requirement in 42 CFR 484.20(c)(2) as 484.20(c)(1). This requirement provides that OASIS data must be transmitted in a format that meets the data format standard specified in 42 CFR 484.20(d).
- Redesignates the data submission requirements at 484.20(c)(3) as 484.20(c)(2) and removes reference to reporting requirements for existing agencies in 1999.

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- Redesignates the requirement at 42 CFR 484.20(c)(4) as 484.20(c)(3). This requirement provides that HHAs must transmit data using electronic communications software that provides a direct telephone connection from the HHA to the State Agency or CMS OASIS contractor.
- Addition of a new paragraph 484.20(c)(4) to include the CMS-assigned branch identification number as applicable.”

For questions concerning this memorandum, please contact Charlotte Unger, OASIS Education Coordinator, at (512) 438-2210.